# Dynamics in the moduli space of Abelian differentials

Marcelo Viana

Beijing University - 2005

### Abelian differentials

Abelian differential = holomorphic 1-form  $\omega_z = \varphi(z)dz$  on a (compact) Riemann surface.

### Abelian differentials

Abelian differential = holomorphic 1-form  $\omega_z = \varphi(z)dz$  on a (compact) Riemann surface.

Adapted local coordinates:  $\zeta = \int_p^z \varphi(w) dw$  then  $\omega_{\zeta} = d\zeta$ 

near a zero with multiplicity m:

$$\zeta=(m+1)\left(\int_{p}^{z}\varphi(w)dw\right)^{rac{1}{m+1}}$$
 then  $\omega_{\zeta}=\zeta^{m}d\zeta$ 

### Abelian differentials

Abelian differential = holomorphic 1-form  $\omega_z = \varphi(z)dz$  on a (compact) Riemann surface.

Adapted local coordinates:  $\zeta = \int_p^z \varphi(w) dw$  then  $\omega_{\zeta} = d\zeta$ 

near a zero with multiplicity m:

$$\zeta=(m+1)\left(\int_{p}^{z}\varphi(w)dw\right)^{\frac{1}{m+1}}$$
 then  $\omega_{\zeta}=\zeta^{m}d\zeta$ 

Adapted coordinates form a translation atlas: coordinate changes near any regular point have the form

$$\zeta' = \zeta + \text{const.}$$

### Translation surfaces

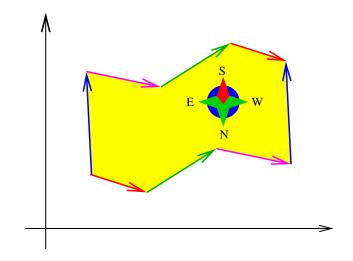
#### The translation atlas defines

- a flat metric with a finite number of conical singularities;
- a parallel unit vector field (the "upward" direction) defined on the complement of the singularities.

Conversely, the flat metric and the upward vector field characterize the translation structure completely.

# Geometric representation

Consider any planar polygon with even number of sides, organized in pairs of parallel sides with the same length.

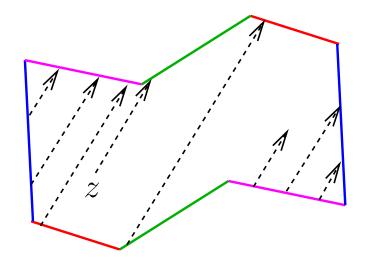


Identifying the two sides in the same pair, by translation, one obtains a translation surface.

Every translation surface can be represented in this way, but not uniquely.

#### Geodesic flows

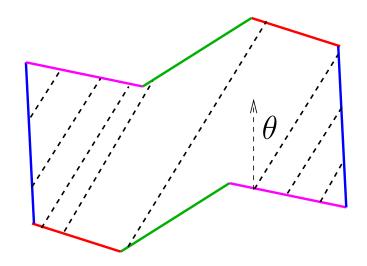
The trajectories of the Abelian differential are the geodesics on the corresponding translation surface.



When are geodesics closed? When are they dense? How do geodesics distribute themselves on the surface?

#### Measured foliations

Geodesics in a given direction define a foliation of the surface which is a special case of a measured foliation: it is given by the kernel of a real closed 1-form  $\Re(e^{i\theta}\omega)$ .



Calabi, Katok, Hubbard-Masur, Kontsevich-Zorich: Every measured foliation with no saddle connections (leaves that connect singularities) is of this form.

## **Moduli spaces**

 $\mathcal{M}_g = \text{moduli space of Riemann surfaces of genus } g \geq 2$ 

 $A_g =$  moduli space of Abelian differentials on Riemman surfaces of genus  $g \ge 2$ 

$$\dim_{\mathbb{C}} \mathcal{M}_g = 3g - 3 \qquad \dim_{\mathbb{C}} \mathcal{A}_g = 4g - 3$$

 $\mathcal{A}_g$  is a fiber bundle ("cotangent bundle") over  $\mathcal{M}_g$ .

# Strata of $\mathcal{A}_q$

Consider any  $m_1, \ldots, m_{\sigma} \geq 1$  with  $\sum_{i=1}^{\sigma} m_i = 2g - 2$ .

 $A_g(m_1, \ldots, m_{\sigma}) =$  subset of Abelian differentials having  $\sigma$  zeroes, with multiplicities  $m_1, \ldots, m_{\sigma}$ .

$$\dim_{\mathbb{C}} \mathcal{A}_q(m_1,\ldots,m_{\sigma}) = 2g + \sigma - 1$$

# Strata of $\mathcal{A}_q$

Consider any  $m_1, \ldots, m_{\sigma} \geq 1$  with  $\sum_{i=1}^{\sigma} m_i = 2g - 2$ .

 $\mathcal{A}_g(m_1,\ldots,m_\sigma)=$  subset of Abelian differentials having  $\sigma$  zeroes, with multiplicities  $m_1,\ldots,m_\sigma$ .

$$\dim_{\mathbb{C}} \mathcal{A}_g(m_1,\ldots,m_{\sigma}) = 2g + \sigma - 1$$

Each stratum carries a canonical volume measure.

Masur, Veech proved that the volume of every stratum is finite. The volumes of all strata have been computed by Eskin, Okounkov, Pandharipande.

# Strata of $\mathcal{A}_q$

Consider any  $m_1, \ldots, m_{\sigma} \geq 1$  with  $\sum_{i=1}^{\sigma} m_i = 2g - 2$ .

 $\mathcal{A}_g(m_1,\ldots,m_\sigma)=$  subset of Abelian differentials having  $\sigma$  zeroes, with multiplicities  $m_1,\ldots,m_\sigma$ .

$$\dim_{\mathbb{C}} \mathcal{A}_g(m_1,\ldots,m_{\sigma}) = 2g + \sigma - 1$$

Each stratum carries a canonical volume measure.

Masur, Veech proved that the volume of every stratum is finite. The volumes of all strata have been computed by Eskin, Okounkov, Pandharipande.

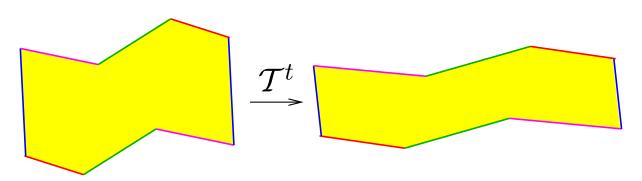
Each stratum may have up to 3 connected components. Kontsevich-Zorich classified all connected components.

#### Teichmüller flow

The Teichmüller flow is the natural action  $\mathcal{T}^t$  on the fiber bundle  $\mathcal{A}_g$  by the diagonal subgroup of  $\mathrm{SL}(2,\mathbb{R})$ :

$$\mathcal{T}^{t}(\omega)_{z} = \left[ e^{t} \Re \omega_{z} \right] + i \left[ e^{-t} \Im \omega_{z} \right]$$

# Geometrically:

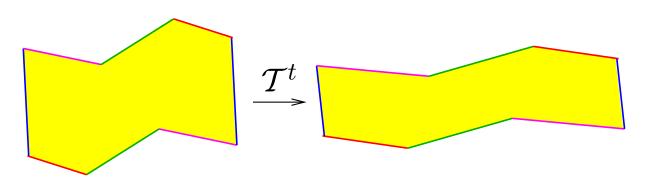


#### Teichmüller flow

The Teichmüller flow is the natural action  $\mathcal{T}^t$  on the fiber bundle  $\mathcal{A}_q$  by the diagonal subgroup of  $\mathrm{SL}(2,\mathbb{R})$ :

$$\mathcal{T}^{t}(\omega)_{z} = \left[ e^{t} \Re \omega_{z} \right] + i \left[ e^{-t} \Im \omega_{z} \right]$$

# Geometrically:



This flow leaves invariant the volume on every stratum and also preserves the area of the translation surface S.

# General Principle

Properties of the Teichmüller flow reflect upon dynamical properties of almost all Abelian differentials.

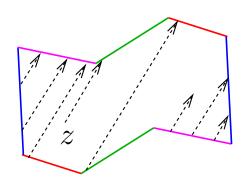
# Ergoalcity

Masur, Veech: The Teichmüller flow is ergodic on every connected component of every stratum (restricted to each hypersurface of constant area).

# Ergoalcity

Masur, Veech: The Teichmüller flow is ergodic on every connected component of every stratum (restricted to each hypersurface of constant area).

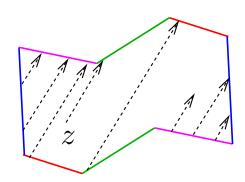
Consequence: The geodesic flow of almost every Abelian differential is uniquely ergodic in almost every direction.



# Ergoalcity

Masur, Veech: The Teichmüller flow is ergodic on every connected component of every stratum (restricted to each hypersurface of constant area).

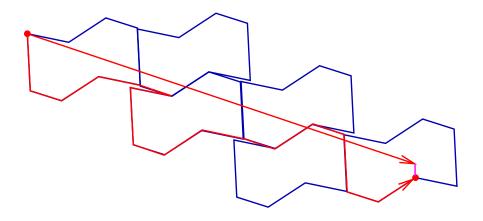
Consequence: The geodesic flow of almost every Abelian differential is uniquely ergodic in almost every direction.



The result was much improved by Kerchoff, Masur, Smillie: unique ergodicity holds for every Abelian differential and almost every direction.

## Asymptotic cycles

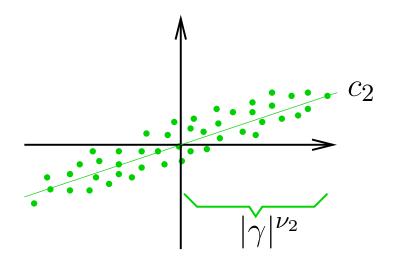
Any geodesic segment  $\gamma$  may be "closed" to get an element  $h(\gamma)$  of  $H_1(S,\mathbb{Z})$ :



Unique ergodicity implies  $h(\gamma)/|\gamma|$  converges uniformly to some  $c_1 \in H_1(S, \mathbb{R})$  when the length  $|\gamma|$  goes to infinity, and the asymptotic cycle  $c_1$  does not depend on the initial point, only the surface and the direction.

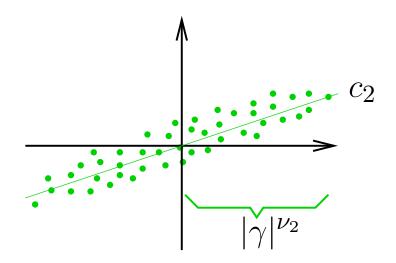
## **Lorich phenomenon**

The deviation of  $h(\gamma)$  from the direction of the asymptotic cycle  $c_1$  distributes itself along a favorite direction  $c_2$ , with amplitude  $|\gamma|^{\nu_2}$  for some  $\nu_2 < 1$ :



## **Lorich phenomenon**

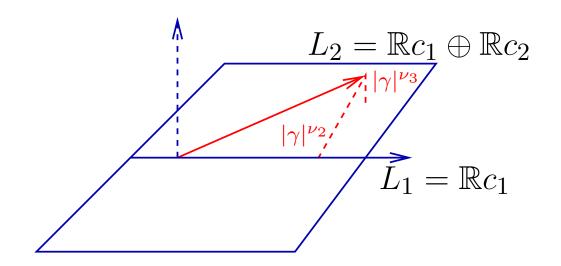
The deviation of  $h(\gamma)$  from the direction of the asymptotic cycle  $c_1$  distributes itself along a favorite direction  $c_2$ , with amplitude  $|\gamma|^{\nu_2}$  for some  $\nu_2 < 1$ :



Similarly in higher order: the component of  $h(\gamma)$  orthogonal to  $\mathbb{R}c_1 \oplus \mathbb{R}c_2$  has a favorite direction  $c_3$ , and amplitude  $|\gamma|^{\nu_3}$  for some  $\nu_3 < \nu_2$ , and so on up to order g = genus.

**Conjecture** (Zorich, Kontsevich). There are  $1 > \nu_2 > \cdots > \nu_g > 0$  and subspaces  $L_1 \subset L_2 \subset \cdots \subset L_g$  of  $H_1(S,\mathbb{R})$  with  $\dim L_i = i$  for every i, such that

- ullet the deviation of  $h(\gamma)$  from  $L_i$  has amplitude  $|\gamma|^{
  u_{i+1}}$  for all i < g
- the deviation of  $h(\gamma)$  from  $L_q$  is bounded (g= genus).



**Theorem** (Avila, Viana). The Zorich-Kontsevich conjecture is true.

**Theorem** (Avila, Viana). The Zorich-Kontsevich conjecture is true.

M. Kontsevich, A. Zorich translated the conjecture to a statement on the Teichmüller flow.

The Lyapunov exponents of the Teichmüller flow are

$$2 > 1 + \nu_2 \ge \dots \ge 1 + \nu_g \ge 1 = \dots = 1 \ge 1 - \nu_g \ge \dots \ge 1 - \nu_2 \ge 0$$
  
 
$$\ge -1 + \nu_g \ge \dots \ge -1 + \nu_g \ge -1 = \dots = -1 \ge -1 - \nu_g \ge \dots \ge -1 - \nu_2 > -2.$$

Theorem (Avila, Viana). The Zorich-Kontsevich conjecture is true.

M. Kontsevich, A. Zorich translated the conjecture to a statement on the Teichmüller flow.

The Lyapunov exponents of the Teichmüller flow are

$$2 > 1 + \nu_2 \ge \dots \ge 1 + \nu_g \ge 1 = \dots = 1 \ge 1 - \nu_g \ge \dots \ge 1 - \nu_2 \ge 0$$
  
 
$$\ge -1 + \nu_g \ge \dots \ge -1 + \nu_g \ge -1 = \dots = -1 \ge -1 - \nu_g \ge \dots \ge -1 - \nu_2 > -2.$$

G. Forni proved  $\nu_g > 0$ . This implies the case g = 2.

Theorem (Avila, Viana). The Zorich-Kontsevich conjecture is true.

M. Kontsevich, A. Zorich translated the conjecture to a statement on the Teichmüller flow.

The Lyapunov exponents of the Teichmüller flow are

$$2 > 1 + \nu_2 \ge \dots \ge 1 + \nu_g \ge 1 = \dots = 1 \ge 1 - \nu_g \ge \dots \ge 1 - \nu_2 \ge 0$$
  
 
$$\ge -1 + \nu_g \ge \dots \ge -1 + \nu_g \ge -1 = \dots = -1 \ge -1 - \nu_g \ge \dots \ge -1 - \nu_2 > -2.$$

G. Forni proved  $\nu_g > 0$ . This implies the case g = 2.

Avila, Viana prove that all inequalities above are strict (including  $\nu_g > 0$ ). The Z-K conjecture follows.

## Linear Cocycles

A linear cocycle over a flow  $f^t: M \to M$ ,  $t \in \mathbb{R}$  is a flow extension

$$F^t: M \times \mathbb{R}^d \to M \times \mathbb{R}^d, \quad F^t(x, v) = (f^t(x), A^t(x)v)$$

where  $A^t: M \to GL(d, \mathbb{R})$ .

Similarly, a linear cocycle over a map  $f: M \to M$  is an extension

$$F: M \times \mathbb{R}^d \to M \times \mathbb{R}^d, \quad F(x,v) = (f(x), A(x)v)$$

where 
$$A: M \to GL(d, \mathbb{R})$$
. Note  $F^t(x, v) = (f^t(x), A^t(x)v)$  with  $A^t(x) = A(f^{t-1}(x)) \cdot \cdot \cdot \cdot A(f(x)) \cdot A(x)$ .

# Lyapunov exponents

Oseledets: Let  $\mu$  be an ergodic invariant probability such that  $\log \|A^{\pm 1}\|$  are integrable. Then there exist numbers  $\lambda_1 > \lambda_2 > \cdots > \lambda_k$ , and for  $\mu$ -almost every  $x \in M$  there exists a decomposition  $\mathbb{R}^d = E_x^1 \oplus E_x^2 \oplus \cdots \oplus E_x^k$  such that

$$\lambda_i(x) = \lim_{|t| \to \infty} \frac{1}{t} \log ||A^t(x)v||$$

for every non-zero  $v \in E_x^i$  and  $1 \le i \le k$ .

The dimension of the subspace  $E^i$  is called the multiplicity of the Lyapunov exponent  $\lambda_i$  of the linear cocycle.

# Main steps in the proof

(1) A sufficient condition for the Lyapunov spectrum of a linear cocycle to be simple, that is, all exponents to have multiplicity 1.

# Main steps in the proof

- (1) A sufficient condition for the Lyapunov spectrum of a linear cocycle to be simple, that is, all exponents to have multiplicity 1.
- (2) This criterium is met by the Kontsevich-Zorich cocycle

$$F^t(x,v) = (f^t(x), A^t(x))$$
 on  $\mathcal{A}_g(m_1, \dots, m_{\kappa}) \times \mathbb{R}^{2g}$ 

 $F^t$  where  $f^t$  is the Teichüller flow and  $A^t$  describes the action of this flow on the homology group  $H_1(M) = \mathbb{R}^{2g}$ .

## Main steps in the proof

- (1) A sufficient condition for the Lyapunov spectrum of a linear cocycle to be simple, that is, all exponents to have multiplicity 1.
- (2) This criterium is met by the Kontsevich-Zorich cocycle

$$F^t(x,v) = (f^t(x), A^t(x))$$
 on  $\mathcal{A}_g(m_1, \dots, m_{\kappa}) \times \mathbb{R}^{2g}$ 

 $F^t$  where  $f^t$  is the Teichüller flow and  $A^t$  describes the action of this flow on the homology group  $H_1(M) = \mathbb{R}^{2g}$ .

The Lyapunov exponents of this cocycle (with multiplicity) are  $1 \ge \nu_2 \ge \cdots \ge \nu_g \ge 0 \ge -\nu_g \ge \cdots \ge -\nu_2 \ge -1$ .

# The simplicity criterium

- 1. The map f has a Markov partition, finite or countable.
- 2. A is constant on each element of the Markov partition.
- 3. A bounded distortion condition (inverse branches of iterates of *f* and their Jacobians are equicontinuous).

# The simplicity criterium

- 1. The map f has a Markov partition, finite or countable.
- 2. A is constant on each element of the Markov partition.
- 3. A bounded distortion condition (inverse branches of iterates of f and their Jacobians are equicontinuous).

We call the cocycle simple satisfies both

- (pinching): There is some periodic point p of f over which the eigenvalues of the cocycle all have different norms.
- (twisting): There is some homoclinic orbit to p over which the cocycle puts all eigenspaces in general position relative to each other.

## Proof of the conjecture

**Theorem 1.** If a cocycle is simple than its Lyapunov spectrum is simple.

**Theorem 2.** The Kontsevich-Zorich cocycle is simple.